

# High-pressure DSC study of thermal transitions of a poly(ethylene terephthalate)/carbon dioxide system

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Received 25 March 1998; received in revised form 30 June 1998; accepted 6 August 1998

## Abstract

The thermal transitions of a poly(ethylene terephthalate)/carbon dioxide (PET/CO<sub>2</sub>) system were investigated by using a differential scanning calorimeter accessorized with a high-pressure DSC cell. It was found that the glass transition temperature of PET decreases with an increase in the CO<sub>2</sub> pressure due to the plasticization effect, which is quite noticeable even at rather low CO<sub>2</sub> pressures. The sorbed CO<sub>2</sub> enhances the mobility of the chain segments and depresses the crystallization temperature of the PET. The CO<sub>2</sub>-induced crystallization of PET at high pressure is attributed mainly to the plasticization effect, which causes a lower  $T_g$  than room temperature for PET, and hence crystallization of PET can occur at room temperature. The sorbed CO<sub>2</sub> was also found to be able to induce the crystallization of PET at temperatures lower than the glass transition temperature of PET. The results of high-pressure DSC were supported by measurements of wide-angle X-ray diffraction (WAXD). © 1999 Elsevier Science Ltd. All rights reserved.

*Keywords:* High-pressure DSC; PET;  $T_g$

## 1. Introduction

In the past decades, the study of polymer–diluent systems has received extensive attention for environmental protection and industrial purposes, including the manufacture of foam plastics, packaging materials and membrane processes for gas separation [1–3]. It has been reported that high-pressure gases can be used to change polymer morphology and phase behaviour [4,5]. Recently, polymerization in supercritical fluids has been reported by several authors [6–9]. The small molecules dissolved in polymer matrices can induce complicated mass transfer behaviour, and, consequently, dissolution, diffusion, swelling and crystallization can occur. The resulting phenomena relate to the nature of the polymers and penetrants, the temperature, the pressure, the sample geometry, and the interaction between the polymer molecules and the small diluent molecules.

In the investigation of polymer/diluent systems, it is particularly important to study the plasticization and crystallization induced by gases or solvents [10–19]. Several studies of CO<sub>2</sub>-induced crystallization have been reported for polycarbonate (PC) [15],

poly(phenylene sulfide) [16], poly(aryl ether ether ketone) [17,18] and poly(ethylene terephthalate) (PET) [11,14,19]. However, the thermal properties from these studies were not in situ properties under high-pressure CO<sub>2</sub>. The method adopted by these studies was to subject the polymer to a delay time between thermal characterization and pressurization, during which the polymer specimen was first enclosed in a high-pressure CO<sub>2</sub> chamber for a period of time to reach a sorption equilibrium, then the specimen was taken out of the chamber for measurement after the pressure was released. In our previous study, we reported the in situ DSC study of the  $T_g$  of a bisphenol A polycarbonate (PC)/CO<sub>2</sub> system and that the  $T_g$  of the system was depressed significantly by high pressure N<sub>2</sub> or CO<sub>2</sub> [20]. In this study, we present measurements of the in situ thermal transitions of PET under high-pressure CO<sub>2</sub> in order to understand the interaction of polymers with gas molecules and the mechanisms of plasticization and gas-induced crystallization. With an on-line high-pressure DSC cell mounted on a normal DSC apparatus, we can keep the pressure of CO<sub>2</sub> constant during DSC analysis. We were thus able to detect the in situ thermal transitions of PET, such as the glass transitions, crystallization and the melting process, and to monitor the variation of these transitions with CO<sub>2</sub> pressure.

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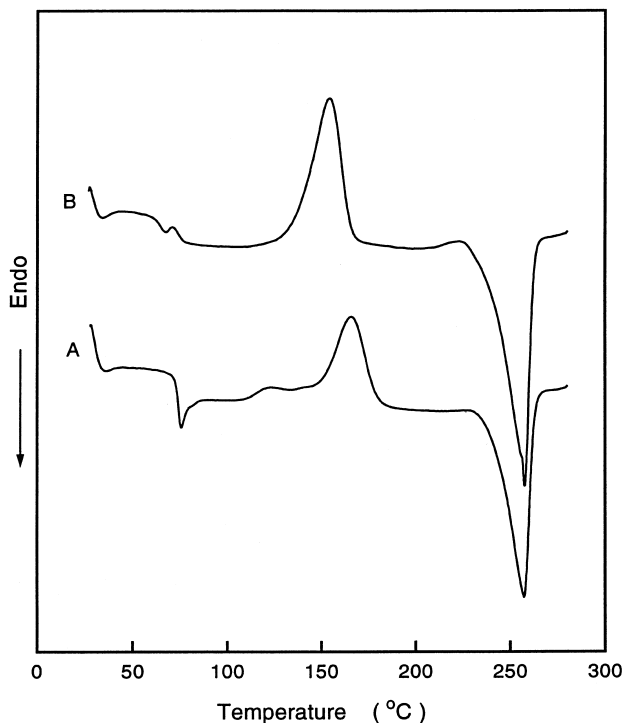


Fig. 1. DSC thermograms of (A) the 'as-received' PET pellet and (B) the PET film (quenched in liquid nitrogen).

## 2. Experimental method

### 2.1. Materials and preparation

The PET pellet (CAT# 138;  $d = 1.385$ ) was obtained from Scientific Polymer Products, Inc., Ontario, NY, USA. The PET pellet became molten at 280°C after 5 min in a hot press. The molten PET was then pressed into a thin film about 200  $\mu\text{m}$  thick. After keeping the thin film at 280°C for another 5 min, the PET was immersed into liquid nitrogen to obtain an amorphous PET film. The gas used in this study is high-purity ultra-dry  $\text{CO}_2$ , purchased from Hong Kong Town Gas Company.

### 2.2. Apparatus and methods

The measurements of the thermal properties of PET were conducted on a TA 2910 differential scanning calorimeter. A special accessory, a high-pressure DSC cell, was ordered from TA Instruments, Delaware, USA; this enables us to run DSC measurements under different gases at pressures up to 70 atm.

To start the high-pressure DSC measurement, the PET film was enclosed in the sample pan, and a small hole was pricked in the top of the pan to allow gas to contact the polymer enclosed in the sample pan during the measurement. The sample pan and reference empty pan were first put into the high-pressure DSC cell, then  $\text{CO}_2$  was slowly dispersed into the cell in order to purge the air from the cell.

After this operation, the  $\text{CO}_2$  was pressurized to the desired level. The sample was exposed to this  $\text{CO}_2$  environment for adequate time for sorption equilibrium to be achieved, and for the whole period the temperature of the cell was kept at room temperature (25°C). After that, the sample was heated to 280°C at a rate of 10°C  $\text{min}^{-1}$  and kept at 280°C for 1 min. Then the sample was cooled to 150°C at 5°C  $\text{min}^{-1}$ . During the measurement, the pressure of the  $\text{CO}_2$  was kept constant in the cell. The glass transition temperature ( $T_g$ ) was taken as that of the midpoint of the transition. The crystallization temperature ( $T_c$ ) and the melting-point temperature ( $T_m$ ) were taken as the minimum of the exothermic peak and the maximum of the endothermic peak, respectively.

Wide-angle X-ray diffraction (WAXD) patterns were determined with a Philips PW 1830 diffractometer with  $\text{CuK}\alpha$  radiation, operating at 40 kV and 40 mA at room temperature. The angular scale and recorder reading ( $2\theta$ ) were calibrated to an accuracy of 0.05°.

## 3. Results and discussion

The PET film prepared in this work was transparent. The DSC thermogram of the PET film is shown in Fig. 1 (curve B). For comparison purposes, the DSC thermogram of the 'as-received' PET pellet is also presented in Fig. 1 (curve A). Some obvious differences between these two PET samples can be found in the figure. First, the PET pellet exhibits an ageing peak, which can be explained by an enthalpy relaxation mechanism. The PET film shows no ageing phenomenon because it was obtained by quenching. Secondly, the  $T_g$  and  $T_m$  of these two samples are almost the same (74°C and 257°C, respectively), whereas the  $T_c$  of the PET film is lower than that of the PET pellet by 11°C. Finally, the area difference between the endothermic melting peak and the exothermic crystallization peak for the PET film is 10.26  $\text{J g}^{-1}$ , which is smaller than that for the PET pellet (19.29  $\text{J g}^{-1}$ ). Such an area difference for the PET film means that a completely amorphous PET was not obtained even by quenching the molten PET in liquid nitrogen. However, it is clear that the PET pellet has higher crystallinity than that of the quenched PET film.

According to the solution–diffusion mechanism, solution is a quick process, whereas diffusion is slower. The latter determines the time required for the sorption equilibrium to be reached. In our experiment, the DSC thermograms of the PET film exposed to  $\text{CO}_2$  at 15 atm and equilibrated for 10 h and 21 h, respectively, were found identical. It was also found that the  $T_g$ ,  $T_c$  and  $T_m$  of the PET film exposed to  $\text{CO}_2$  for 10 h were same as those of PET film exposed to  $\text{CO}_2$  for 21 h. The enthalpies related to crystallization and melting were also not significantly different between these two samples. Thus, in our opinion, 21 h is a sufficient time for sorption equilibrium for  $\text{CO}_2$  in PET film at 25°C.

Figs. 2 and 3 show the DSC traces of the PET film at

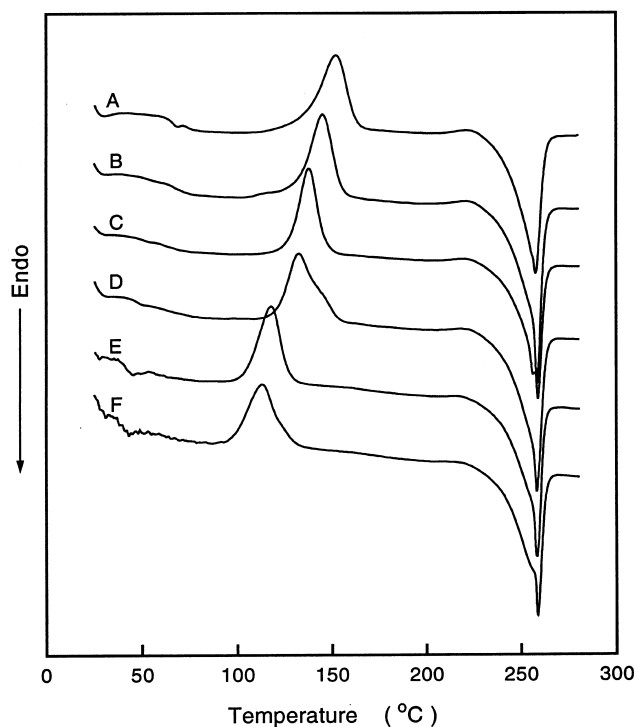


Fig. 2. DSC thermograms of the quenched PET film with exposure to CO<sub>2</sub> atmosphere for 21 h at (A) 0 atm; (B) 5 atm; (C) 10 atm; (D) 15 atm; (E) 25 atm; and (F) 30 atm.

different pressures in CO<sub>2</sub> atmosphere. In Fig. 2, all the DSC traces clearly exhibit glass transition, crystallization exothermic peak and melting endothermic peak. Although the DSC traces of the PET film exposed to CO<sub>2</sub> pressure higher than 25 atm show some turbulence at the initial parts of the diagram, this turbulence does not affect the determination of  $T_g$  for these samples. Based on Figs 2 and 3, several interesting results can be found. Firstly, the  $T_g$  of the PET film decreases with increasing CO<sub>2</sub> pressure, and, for the samples exposed to the CO<sub>2</sub> atmosphere with a pressure higher than 30 atm, no glass transition can be detected in the experimental conditions. Secondly, the  $T_c$  of the PET film also decreases with increasing CO<sub>2</sub> pressure. Thirdly, the  $T_m$  of these samples looks invariant with changing pressure. Compared with the sample exposed to 0 atm air, the PET film exposed to 0 atm CO<sub>2</sub> shows lower  $T_g$  and  $T_c$  but

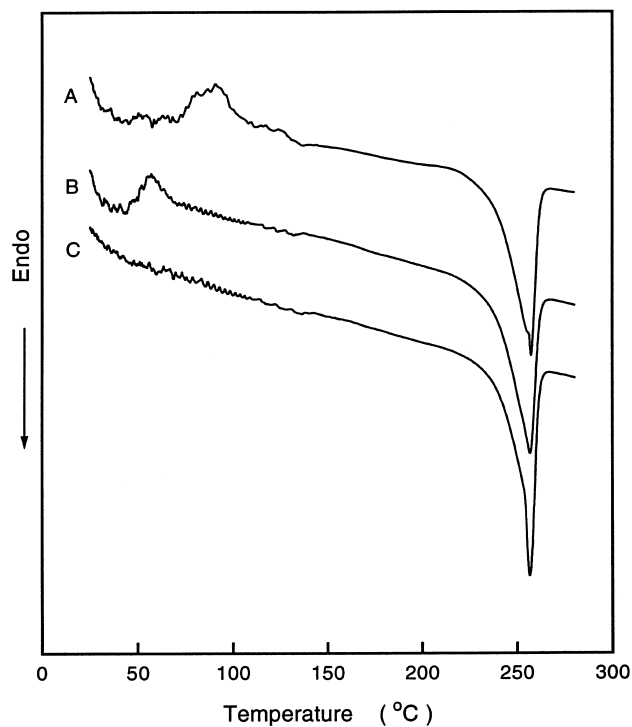


Fig. 3. DSC thermograms of the quenched PET film with exposure to CO<sub>2</sub> atmosphere at (A) 40 atm for 21 h; (B) 50 atm for 21 h; and (C) 50 atm for 45 h.

the same  $T_m$ . (It should be noted that the pressure value used in this work is the gauge pressure of the pressure DSC cell during the measurement. Therefore, 0 atm is equivalent to 1 atm absolute pressure.)

For the purpose of quantitative analysis, the results obtained from Figs 2 and 3 are summarized in Table 1 and Fig. 4. It can be seen that the  $T_g$  of the PET film decreases from 74°C at 0 atm air to 72°C at 0 atm CO<sub>2</sub>, to 48°C at 15 atm CO<sub>2</sub>, and then to 37°C at 30 atm CO<sub>2</sub>. The  $T_g$  reduction of PET in a CO<sub>2</sub> environment was also observed by Chiou et al. [11], who found that the  $T_g$  of PET decreases from 74°C to 52°C at 20 atm. The greater reduction of  $T_g$  found in our experiments than in Chiou's is probably due to the difference in experimental methodology. Since Chiou et al. carried out their DSC experiment after releasing the CO<sub>2</sub> pressure, they could not obtain in situ properties of PET in

Table 1  
Thermal properties of PET measured by high-pressure DSC (ND: not detected)

	$T_g$ (°C)	$T_c$ (°C)	$\Delta H_c$ (J g <sup>-1</sup> )	$T_m$ (°C)	$\Delta H_f$ (J g <sup>-1</sup> )	$X_c$ (%)
0 atm, in air	74	154	43.2	258	53.5	7.3
0 atm, in CO <sub>2</sub>	72	152	43.9	258	57.8	9.9
5 atm, in CO <sub>2</sub>	67	145	41.5	259	59.6	12.9
10 atm, in CO <sub>2</sub>	51	138	39.8	259	59.3	13.9
15 atm, in CO <sub>2</sub>	47	130	37.8	258	57.5	14.1
25 atm, in CO <sub>2</sub>	41	118	32.8	258	54.6	15.5
30 atm, in CO <sub>2</sub>	37	113	31.1	259	52.6	15.4
40 atm, in CO <sub>2</sub>	ND	91	23.9	258	51.9	20.0
50 atm, in CO <sub>2</sub>	ND	57	6.2	257	50.4	31.6

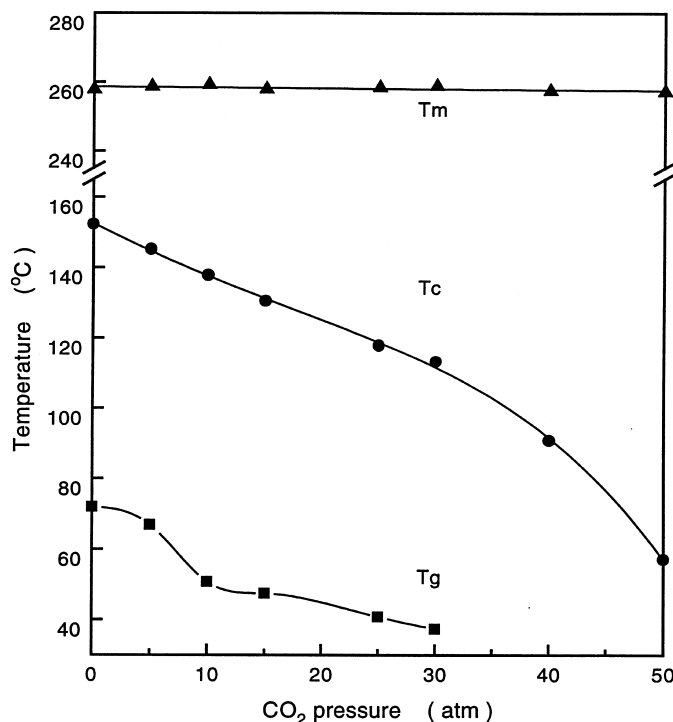


Fig. 4. The pressure dependence of the glass transition temperature,  $T_g$ , crystallization temperature,  $T_c$ , and melting temperature,  $T_m$ , of PET as a function of  $\text{CO}_2$  pressure.

$\text{CO}_2$  and, as a result, they obtained a somewhat smaller  $T_g$  reduction.

The reduction of  $T_g$  is known as the plasticization effect of  $\text{CO}_2$ . The higher the  $\text{CO}_2$  pressure and hence the more sorbed  $\text{CO}_2$  in the polymer, the lower the  $T_g$  of the PET film. Because the dominant components of air are nitrogen and oxygen, and because the solubility of  $\text{N}_2$  and  $\text{O}_2$  in PET is lower, the  $T_g$  of the PET film exposed to 0 atm  $\text{CO}_2$  is lower than that exposed to 0 atm air. For higher  $\text{CO}_2$  pressures than 30 atm, the  $T_g$  of the PET film became lower than the starting temperature of our experiments; hence, the  $T_g$  cannot be detected. The pressure DSC cell produced by TA Instruments does not have a cooling system. As a result, we were unable to determine thermal transitions below room temperature. Mensitieri et al. [19] reported that only at high pressures is  $\text{CO}_2$  able to plasticize the PET and consequently to promote its crystallization. However, according to our results in this study,  $\text{CO}_2$  can plasticize PET even at rather low pressures (cf Fig. 4). It should be pointed out that the high-pressure gas affects the  $T_g$  of the polymer in two ways; namely, the dissolved gas tends to lower the  $T_g$  and the hydrostatic pressure exerted by the gas tends to raise the  $T_g$ . In our experimental conditions, it is obvious that the decrease of  $T_g$  due to the absorbed  $\text{CO}_2$  is dominant. On the other hand, it is reasonable to think that the actual reduction of  $T_g$  due to the plasticization effect could be stronger than that shown in Table 1 and Fig. 4.

In Fig. 4, it can be seen that the  $T_m$  of the PET film remains almost constant at about 258°C at  $\text{CO}_2$  pressures

up to 50 atm. We believe that the absorbed  $\text{CO}_2$  mainly stays in the amorphous region of the PET, whereas no gas molecules penetrate into the crystal region. As a result, chain mobility in the PET crystalline region is not enhanced by the sorbed gas. Another reason for the invariability of  $T_m$  of PET relative to the  $\text{CO}_2$  pressure is that  $T_m$  reflects the degree of perfection of the polymer crystallites. Since high pressure  $\text{CO}_2$  does not result in imperfection of PET crystallites, the  $T_m$  will not be depressed. From the thermodynamic point of view,  $T_m$  should increase with increasing hydrostatic pressure. However, in order to observe such an increase, the required pressure should be high enough, say beyond 1000 atm. Within the pressure range of this study (0–50 atm) the pressure effect on  $T_m$  cannot be detected. It should also be noticed that the amount of  $\text{CO}_2$  sorbed in PET at elevated temperatures becomes progressively smaller, which makes it difficult to study the effect of high pressure gas on the  $T_m$  of PET.

It is interesting to see that the  $T_c$  of the PET film decreases remarkably with the increase of  $\text{CO}_2$  pressure. In the low-pressure range the  $T_c$  decreases linearly from 154°C at 0 atm air to 152°C at 0 atm  $\text{CO}_2$ , to 130°C at 15 atm  $\text{CO}_2$ , and to 113°C at 30 atm  $\text{CO}_2$ . Beyond 30 atm, the  $T_c$  decreases sharply to 91°C and 57°C at 40 atm and 50 atm, respectively. This phenomenon indicates that crystallization of PET becomes progressively easier with increasing  $\text{CO}_2$  pressure. The reduction of  $T_c$  corresponds to the reduction of  $T_g$ , since lowering  $T_g$  enhances the mobility of the PET chain, and hence the transformation of the amorphous phase of PET to

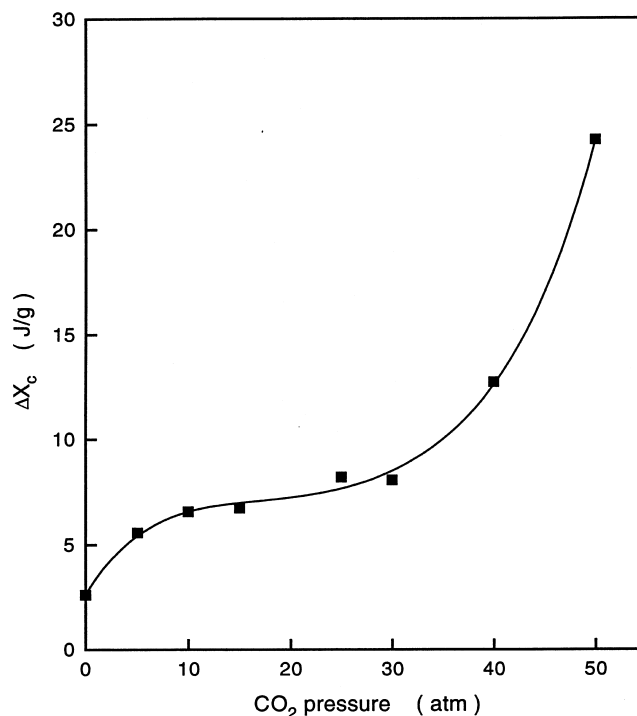


Fig. 5. The pressure dependence of  $\Delta X_c$  as a function of  $\text{CO}_2$  pressure.

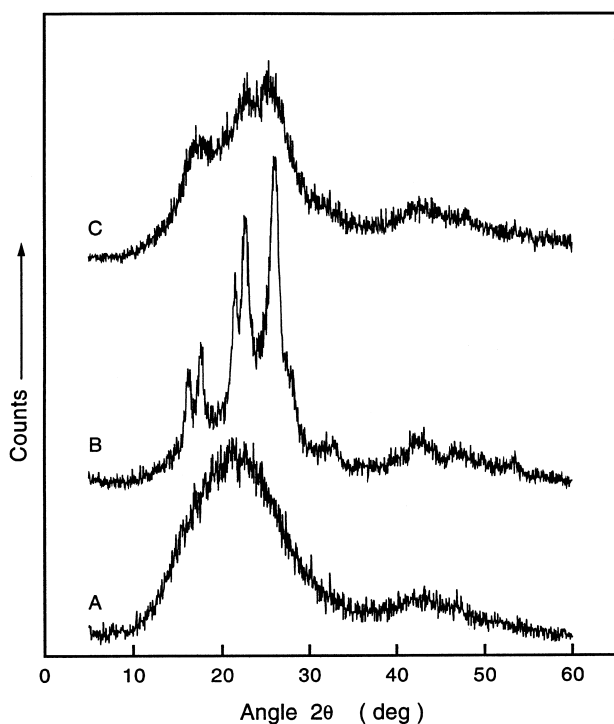


Fig. 6. X-ray diffraction patterns of (A) the quenched PET film without treatment; (B) the quenched PET film crystallized from a molten state under 15 atm  $\text{CO}_2$  atmosphere; and (C) the quenched PET film exposed to 50 atm  $\text{CO}_2$  atmosphere for 21 h at room temperature.

a lower free energy crystalline structure is kinetically favoured. The crystallization of PET prior to heating can be seen clearly from Fig. 3, in which a small crystallization exothermic peak can be found for the PET film exposed to 50 atm  $\text{CO}_2$  for 21 h (curve B), whereas no obvious crystallization exothermic peak can be found for the samples exposed to 50 atm  $\text{CO}_2$  for 45 h (curve C); this suggests that crystallization has already taken place under  $\text{CO}_2$  at 50 atm and at experimental temperatures.

The crystallinity of PET can be calculated using the following equation:

$$X_c = (\Delta H_f - \Delta H_c) / \Delta H_f^0 \quad (1)$$

where  $X_c$  is the percentage of crystallinity,  $\Delta H_f$  and  $\Delta H_c$  are the heats of fusion and crystallization of PET, respectively, and  $\Delta H_f^0 = 140 \text{ J g}^{-1}$  is the heat of fusion of 100% crystalline PET [21]. The calculated results are listed in Table 1. The crystallinity difference,  $\Delta X_c$ , which can be used to characterize the crystalline extent of the PET film at room temperature during exposure to  $\text{CO}_2$ , is defined as:

$$\Delta X_c = (X_c)_{\text{CO}_2} - (X_c)_{\text{air}} \quad (2)$$

where  $(X_c)_{\text{CO}_2}$  and  $(X_c)_{\text{air}}$  are the crystallinity of the PET film in  $\text{CO}_2$  and in 0 atm air, respectively. In Fig. 5,  $\Delta X_c$  is plotted against  $\text{CO}_2$  pressure. It can be seen that, at first,  $\Delta X_c$  increases quickly with  $\text{CO}_2$  pressure, then levels off at about 10 atm. At pressures beyond 30 atm,  $\Delta X_c$  increases sharply again. The non-zero of  $\Delta X_c$  at 0 atm indicates that  $\text{CO}_2$  can induce crystallization of PET even in normal  $\text{CO}_2$  atmosphere (0 atm gauge pressure) and at

room temperature. Keeping in mind that  $\Delta X_c$  characterizes the crystalline ability of the PET in the CO<sub>2</sub> environment at room temperature, the crystallization of PET at low pressure of CO<sub>2</sub> cannot, in our opinion, be attributed to the  $T_g$  depression, because the  $T_g$  of these samples is much higher than room temperature (1). It is probably related to interaction between CO<sub>2</sub> and PET macromolecules which causes an ordered structure of the PET chain. Further studies are needed in order to understand more fully the mechanism of this type of CO<sub>2</sub>-induced crystallization. It can be further seen that this behaviour is sensitive to the CO<sub>2</sub> content (related to CO<sub>2</sub> pressure) in the PET, and becomes more and more significant with increasing CO<sub>2</sub> pressure. When the pressure is higher than 30 atm, the CO<sub>2</sub>-induced crystallization due to the plasticization effect is dominant since the  $T_g$  of the sample is lower than room temperature and the PET can crystallize significantly at room temperature; thus  $\Delta X_c$  increases sharply.

Furthermore, WAXD experiments were performed and the X-ray diffraction patterns of different PET films are shown in Fig. 6. The untreated PET film exhibits a broad peak (curve A), which indicates that the crystallinity in this sample is very low and cannot be detected in WAXD experiments. In fact, the sample has a crystallinity of only 7.3%, from the DSC measurement. However, the PET film, which was exposed to 15 atm CO<sub>2</sub> for 21 h, then heated to 280°C and cooled to 150°C, presents obvious crystalline characteristics and shows five sharp peaks in the X-ray diffraction pattern (curve B), because it has a crystallinity of 40.2%, from the DSC result. As for the PET films which were exposed to 50 atm CO<sub>2</sub> for 21 h at room temperature, some obvious crystalline characteristics can also be found in the X-ray diffraction diagram (curve C). This result also confirms that CO<sub>2</sub> can induce crystallization of PET at room temperature.

#### 4. Conclusions

The results presented here show that CO<sub>2</sub> can plasticize PET at both low and high pressures. The crystallization

temperature decreases with the increase of CO<sub>2</sub> pressure whereas the melting temperature remains constant. It was found that CO<sub>2</sub> can induce the crystallization of PET at temperatures lower than the  $T_g$  of PET. At lower pressures the induced crystallization cannot be attributed to the reduction of  $T_g$ , but at higher pressures plasticization of PET is a dominant effect in CO<sub>2</sub>-induced crystallization. WAXD analysis also shows that CO<sub>2</sub> can induce crystallization of PET.

#### Acknowledgements

This work was supported by RGC, the Earmarked Grant for Research (No. 581/94E), HKUST 96/97.

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